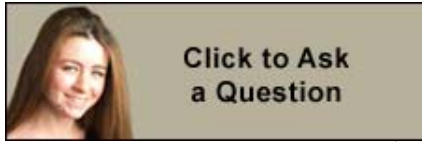


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Hedging an investment portfolio reduces an investor's exposure to some risks, while still allowing the investment portfolio to remain profitable. There are many common hedging strategies. Financial advisors and brokers must offer clients prudent advice that is in keeping with the client's financial goals and takes into account their tolerance for risk. Brokers should offer clients appropriate advice about hedging portfolios. If such advice is not offered, the broker or advisor may incur some liabilities. While hedging can benefit investors, some brokers are hesitant to recommend common hedging strategies. Some brokers believe the complexity of hedging will do nothing more than confuse clients.

[Keywords](#)

#### Fast Facts

- Issue name:** Failure to hedge
- Applicable law/agency:** Securities and Exchange Commission (SEC) and National Association of Securities Dealers (NASD)
- Related topics:** [Securities](#), [breach of fiduciary duty](#), [stock market losses](#)

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